

# Perspectives in Regulating Human Cells and Tissues

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**Chris Joneckis, Ph.D.**

Senior Advisor For CMC Issues

Center For Biologics Evaluation And Research



U.S. Department of Health and Human Services

Food and Drug Administration

# Driving Forces & Challenges

- Previous approach (pre 1997) fragmented and inadequate
- New products (e.g, stem cells, tissue-engineered)
- New manufacturing technologies, degree of manipulation
- Increasing public health concern
- Increasing demand for cells and tissues
- Resource limitations
- Public confidence in products - expectation for safe & effective product
- Industry standards not always followed, not enforceable



# Cells and Tissues - Examples

- musculoskeletal tissue
- ocular tissue
- dura mater
- human heart valves
- reproductive tissue
- hematopoietic stem cells
- cellular therapies
- manipulated cells and tissue
- genetically modified cells
- tissue/ cell combined w /  
device, biologic, drug



# Cell and Tissue Characteristics

- Autologous vs. Allogeneic
- Viable vs. Nonviable
- Banked vs. Unbanked
- Homologous vs. Non-homologous function
- Minimal vs. More than minimal manipulation
- Structural vs. Systemic function
- Combination product – device, biologic or drug



# Overall CBER Approach to Product Development and Regulation

- GOAL: Balanced, flexible, responsive regulatory approach
  - Assure the safety and rights of subjects
  - Protect the public health
  - Not impede technological innovation & product development
- Influences
  - Available scientific knowledge, pre-clinical, clinical knowledge & experience
  - Crises/ tragic events
- Appropriate timing to develop policy, especially written policy
- **Appropriate Risk Assessment**



# FDA Approach to Regulation of Cellular and Tissue-based Products

- Human cells, tissues, or cellular or tissue-based products (HCT/P's)<sup>1</sup>
  - Articles containing human cells or tissues that are intended for transplantation, infusion or transfer into a human recipient
- Provides a unified, comprehensive regulatory framework
- Provides a tiered regulatory approach
  - level of regulation proportional to the degree of risk

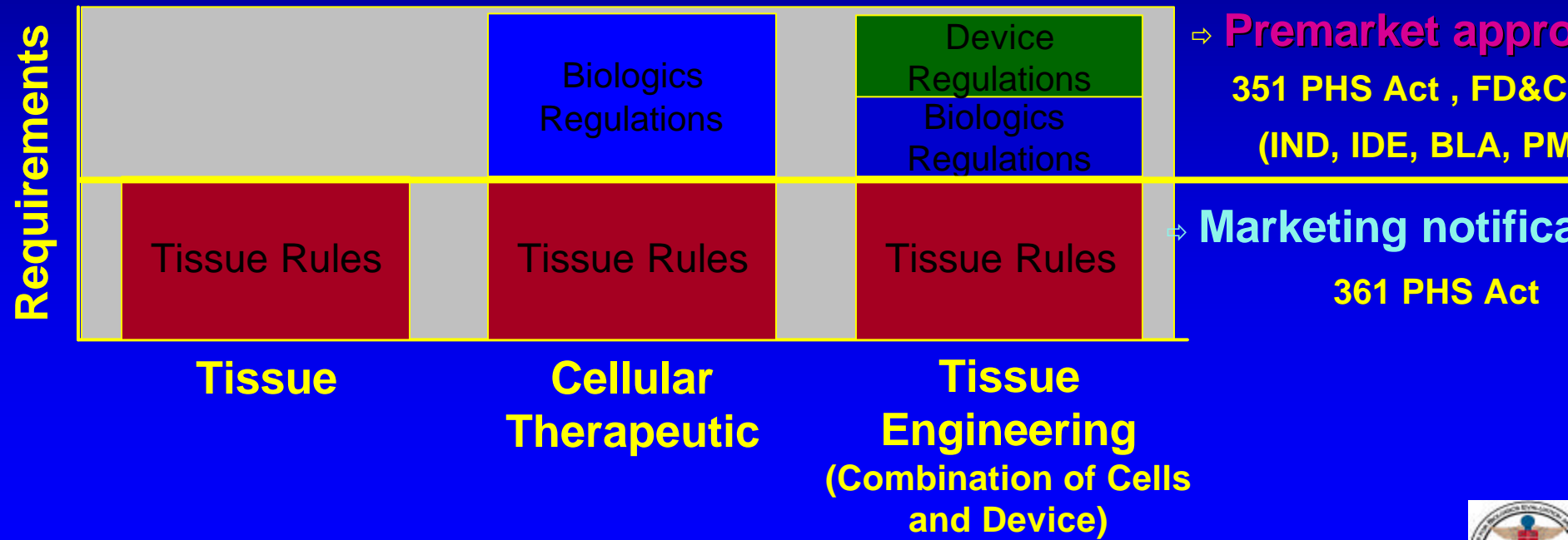
vascularized organs, allogeneic bone marrow transplantation, regulated by other Federal agencies; transfusable blood/ blood components, xenotransplantation regulated by FDA under other approaches.

# Major Areas of Regulatory Concern

- Prevent use of contaminated tissue the can transmit infectious disease
- Prevent improper handling/ processing that might contaminate or damage tissue
- Demonstrate clinical safety and efficacy, where appropriate
- *Promotional claims*
- *Monitoring of industry*



# Regulatory Requirements for HCT/P's





# HCT/P's Intended For Transplantation

- Generally, HCT/P's recovered, processed, stored, or distributed by methods not intended to change tissue function or characteristics
- Minimally manipulated, homologous use, metabolic tissue for self or close blood relative, or for reproductive use
- Regulated only under communicable disease provisions - section 361 of PHS Act ("361 HCT/P's")
  - Comply with "Tissue Rules"
  - Premarket submission or approval not needed



# HCT/P's Regulated as a 351 Product, if

- 1) More than minimally manipulated
- 2) Intended for Non-Homologous Use (non-normal function)
- 3) Systemic effect dependent upon metabolic activity  
Unless autologous use, allogenic use isn 1<sup>st</sup> or 2<sup>nd</sup> degree relative, or reproductive use
- 4) Clinical effect is systemic or dependent upon the metabolic activity of the cells for its primary function
- 5) Combined with a device, drug or biologic
  - Unless it is a sterilizing, preserving, or storage agent with no new clinical safety concerns?

**Exception** - cells and tissues are not regulated if they are removed from and returned to the patient in the same surgical procedure



# HCT/P's Regulated as Biologics or Devices

- More stringent safety and efficacy provisions of PHS Act (“351 HCT/P's”) and FDC Act
  - Comply with Tissue Rule
  - Premarket review required – controlled clinical trials needed to demonstrate safety and efficacy.

# Examples of HCT/P's & Regulatory Oversight

**Generally Regulated  
Under  
Section 361  
PHSAct**

musculoskeletal tissue  
ocular tissue  
dura mater  
human heart valves  
reproductive tissue  
hematopoietic stem cells

**Regulated  
Under  
Section 351  
PHSA, FDCAAct**

cellular therapies  
manipulated cells and tissue  
genetically modified cells  
tissue/ cell combined w / dev  
biologic, drug



# Rule Governing HCT/P's Intended For Human Transplantation

**14 Oct 1993**

Application of Current  
Statutory Authorities to  
Human Somatic Cell-  
Therapy and Gene-  
Therapy Products  
**58 FR 53248**

**4 Mar 1997**

**A Proposed Approach to  
the Regulation of  
Cellular- and Tissue-  
Based Products**  
**62 FR 9721**

**20 May 2004** Eligibility  
Determination for Donors of  
Human Cells, Tissues, and  
Cellular Tissue-Based  
Products

**69 FR 29785**

**14 Dec 1993**

Human Tissue Intended  
for Transplantation  
**58 FR 65514**

**19 Jan 2001**

**Human Cells, Tissues, and  
Cellular- and Tissue-  
Based Products;  
Establishment  
Registration and Listing**  
**66 FR 5447**

**25 May 2005**

**Current Good Tissue Practice  
for Manufacturers of Human  
Cellular- and Tissue-Based  
Products; Inspection and  
Enforcement**  
**66 FR 1508)**



# Establishment Registration and Listing

- Requires establishments to register with FDA and list HCT/P's
  - Exclusions for some (e.g., storage, carriers, contractors engaging only in recovery and transport)
- Lists criteria to determine if HCT/P's regulated solely under 361 PHS Act



# Donor Eligibility

- Screening and testing of most cell and tissue donors for relevant communicable diseases
  - Exception for autologous donor/ sexually intimate partner
- Donor must be eligible prior to HCT/P administration
  - Free of risk factors & clinical evidence of communicable disease
  - Acceptable test results
  - Limited exception to use when eligibility determination not completed
    - ☞ – urgent medical need, w/o other comparable HCTP available
  - Limited use of HCT/P from an ineligible donor



# Good Tissue Practices

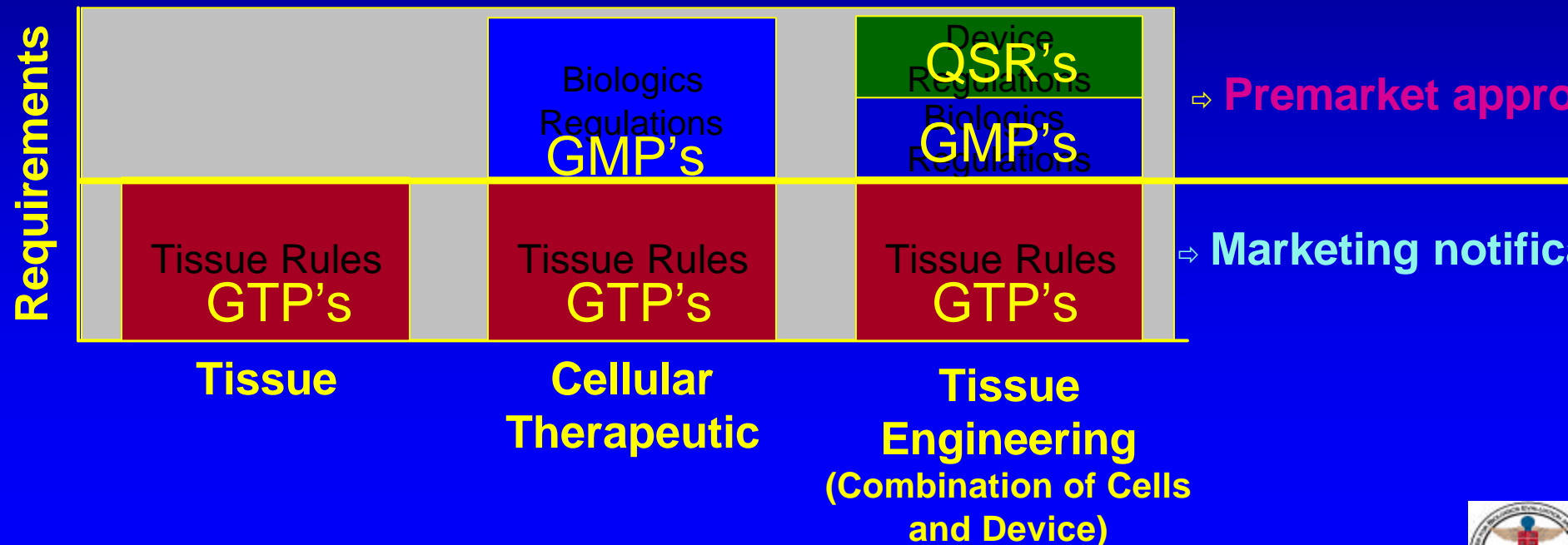
(Applicable to products after May 25, 2005)

- Procedures and controls to prevent introduction, transmission and spread of communicable disease by HCT/P's
  - communicable disease agents – prior to and during manufacturing
- Organized around core requirements, with supporting requirements
  - Follow all GTP requirements applicable to function performed
  - Requirement for a Quality Program
- Flexibility to determine how to meet requirements

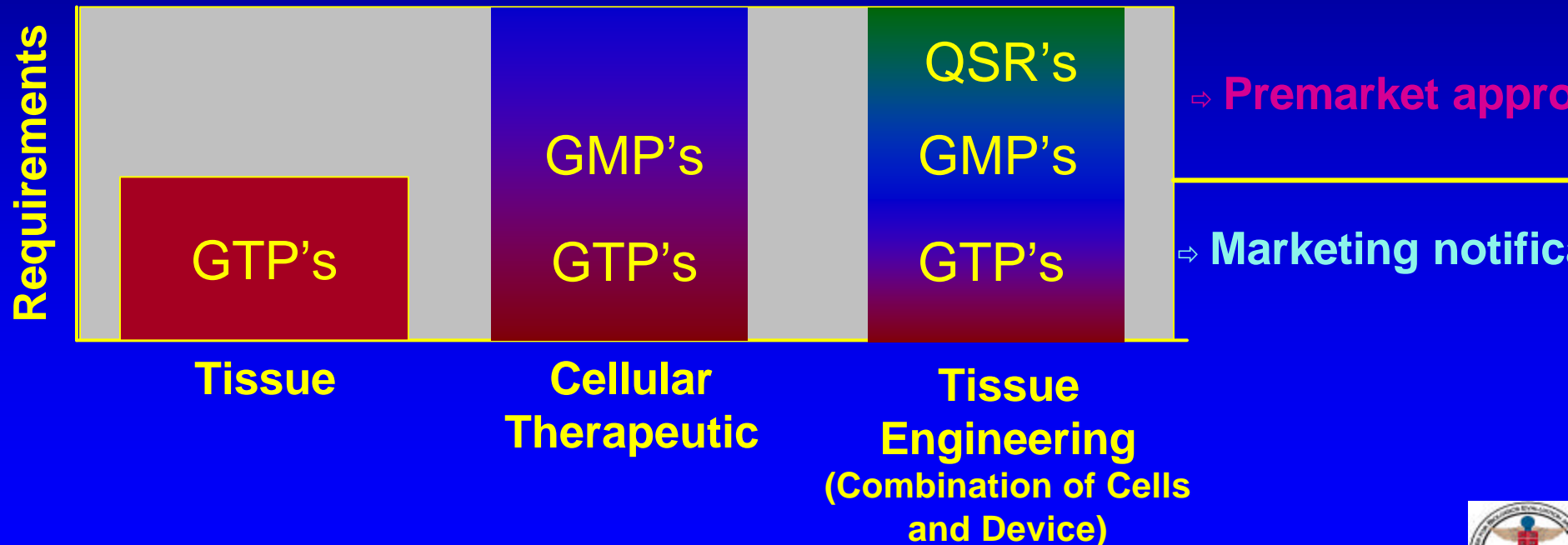




# Regulatory Oversight & Requirements for HCT/P's



# Practical Relationship Among Regulatory Requirements



# Advantages of FDA Approach

- Risk - based – proportionate regulation
- Consistent & predictable treatment
- Provides clear, enforceable standards
- Provides public confidence, supports innovation
- Provides placement for new products



# Challenges

- Tissues
  - Adventitious Agents
  - Contamination, Detection, Quantitation
  - Inactivation Methods
- Hospital-based treatments



# Challenges - Somatic Cellular Therapies/ Combination Products

- Demonstration of Safety and Efficacy
  - Mechanisms of Product Toxicity
- Product Characterization and Testing
  - Testing technologies, Assay Variability, Relevant Criteria
- Manufacturing
  - Ancillary Products, Standards
  - Consistency, Process Validation
- Imagination and creativity in development and application of new technologies and products



# Critical Path Initiative

- CBER Historical Legacy
  - CBER conducted research and studies on overall quality and specific problems related to development, manufacture and testing of biologics -
  - CBER closely interacts (“Partner”) with developers of products
- Critical Path Initiative (FDA Initiative)



# International Activities

- **ICDRA Recommendations [February, 2004]**
  - Develop and Implement effective national regulation
  - WHO should develop of Quality, Safety and Efficacy guidelines
  - WHO should facilitate surveillance – written standards and reference materials
- **WHA Resolution - [adopted May 2004]**
  - Allogenic Transplantation
  - Implement effective national oversight – accountability and traceability
  - Harmonize practices - Procure, process, transplantation
  - Considerations for ethical issues
  - Policing sales and trafficking in human organs and tissues



# CBER Available Documents

- Office of Cellular, Tissues and Gene Therapies
  - Tissues - Ruth Solomon
  - Cellular Therapies – Kimberly Benton
- Guidance/ Information
  - [www.fda.gov/cber](http://www.fda.gov/cber)
  - Manufacturers assistance:[OCTMA@cber.fda.gov](mailto:OCTMA@cber.fda.gov)

